

09/118,944 (Hurley et al.)



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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.
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09/118,945 07/17/98 HURLEY

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EXAMINER

TM02/0129

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ART UNIT

PAPER NUMBER

2672

DATE MAILED:

01/29/01

**Please find below and/or attached an Office communication concerning this application or proceeding.**

**Commissioner of Patents and Trademarks**

## Office Action Summary

Application No.

09/118,945

Applicant(s)

HURLEY ET AL.

Examiner

Motlewa A. Good-Johnson

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136 (a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).

### Status

- 1) ☒ Responsive to communication(s) filed on 13 November 2000.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-20 is/are pending in the application.
- 4a) Of the above claim(s) none is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-20 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claims \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are objected to by the Examiner.
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved.
- 12) ☐ The oath or declaration is objected to by the Examiner.

### Priority under 35 U.S.C. § 119

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d).
- a) ☐ All b) ☐ Some \* c) ☐ None of the CERTIFIED copies of the priority documents have been:
1. ☐ received.
2. ☐ received in Application No. (Series Code / Serial Number) \_\_\_\_\_.
3. ☐ received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. & 119(e).

### Attachment(s)

- 14) ☐ Notice of References Cited (PTO-892)
- 15) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 16) ☒ Information Disclosure Statement(s) (PTO-1449) Paper No(s) 8.
- 17) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_
- 18) ☐ Notice of Informal Patent Application (PTO-152)
- 19) ☐ Other: \_\_\_\_\_

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### **DETAILED ACTION**

1. This action is responsive to communications: application, filed on 07/17/1998; IDS paper #3, filed on 07/17/1998; IDS, paper #8, filed on 11/13/2000; Amendment A, filed on 11/13/2000.

**This action is made final.**

2. Claims 1-20 are pending in the case. Claims 1, 7, 12 and 15 are independent claims. Claims 1-4, 6, 7 and 12-15 have been amended. Claims 18-20 have been added.

3. The present title of the application is "Extension of Fast Phong Shading Technique for Bump Mapping" (as originally filed).

### ***Information Disclosure Statement***

4. The reference cited by applicant, U.S. Patent Number 5,949,424 Cabral et al., has been not been considered, because the reference cited was initially cited by the Examiner.

### ***Drawings***

5. The corrected or substitute drawings were received on 11/13/2000. These drawings are accepted.

### ***Claim Rejections - 35 USC § 103***

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6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. Claims 1-17 are rejected under 35 U.S.C. 103(a) as being unpatentable over Cabral et al., U.S. Patent Number 5,949,424, "A Method, System, and Computer Program Product for Bump Mapping in Tangent Space", class 345/426, 09/07/1999, filed on 02/28/1997, in view of 3D Studio MAX, Appendix A, section 2-2, pps. 2-1 to 2-30.

As per independent claim 1, . . . **a method for implementing bump mapping, comprising the steps of: generating a table of color values . . . ; Cabral et al. discloses in col. 3, line 24; estimating angle coordinates for a pixel in a polygon; Cabral et al. discloses in col. 4, lines 3-6; modifying the estimated angle coordinates . . . ; Cabral et al. discloses in col. 4, lines 6-10; converting the modified angle coordinates . . . ; Cabral et al. discloses in col. 4, lines 10-17; and assigning the pixel a color value according to the one or more color variables.** Cabral et al. discloses in col. 7, lines 1-3.

However it is noted that Cabral et al. fails to disclose said table values and assigning the pixel a color value according to one or more color variables. 3D Studio MAX discloses on pp. 2-29, UV coordinates which display the range of UV mapping coordinates as a color gradient. It would have been obvious to one of ordinary skill in the art at the time of the invention that said UV map implement said bump mapping and that said colors are generated from said table or

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color channels disclosed on pp. 2-16 3D Studio MAX palette for special effects during rendering and compositing as disclosed in 3D Studio MAX on pp. 2-29.

With respect to dependent claim 2, . . . **generating angle perturbations; and adding the angle perturbations to the angle coordinates.** Cabral et al. discloses in col. 4, lines 19-22.

With respect to dependent claim 3, . . . **estimating a bump variable for the pixel; and converting the bump variable to angle perturbations.** Cabral et al. discloses in col. 4, lines 30-35.

With respect to dependent claim 4, . . . **converting the perturbation variable comprises retrieving angle perturbations from a bump map location . . .** Cabral et al. discloses in col. 6, lines 35-45.

With respect to dependent claim 5, . . . **determining angle coordinates for normal vector orientations at vertices . . . ; interpolating angle coordinates for the pixel from the determined angle coordinates.** Cabral et al. discloses in col. 4, lines 2-9.

With respect to dependent claim 6, . . . **determining perturbation variables for the vertices of the polygon; interpolating perturbation variables for the pixel from the determined vertex perturbation variables.** Cabral et al. discloses in col. 4, lines 2-10.

As per independent claim 7, . . . **a graphics system comprising: a geometry engine . . . ; a color map including color values for a sample of vector orientations . . . ;** Cabral et al. discloses in col. 4, lines 46-50; **a perturbation source to provide orientation perturbations;** Cabral et al. discloses in col. 4, lines 19-22; **and a rendering engine to convert vertex data for**

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**each polygon to angle and perturbation coordinates . . .** Cabral et al. discloses in col. 3, lines 13-15.

However, it is noted that Cabral et al. fails to disclose said table values and assigning the pixel a color. Cabral et al. discloses in col. 6, lines 66-67 and col. 7, lines 1-3 that said models and equivalents are used to describe factors for determining a surface color. 3D Studio MAX discloses on pp. 2-29, UV coordinates which display the range of UV mapping coordinates as a color gradient. It would have been obvious to one of ordinary skill in the art at the time of the invention that said UV map implement said bump mapping and that said colors are generated from said table or color channels disclosed on pp. 2-16 3D Studio MAX palette.

With respect to dependent claim 8, . . . **the orientation-dependent color variables are linearly related to angle coordinates . . .** 3D Studio MAX discloses on pp. 2-29, that said . . . channel shows where mapping seams might occur.

With respect to dependent claim 9, . . . **the perturbation source is a bump map including angle perturbations . . .** Cabral et al. discloses in col. 6, lines 35-45.

With respect to dependent claim 10, . . . **rendering engine includes a generator that combines the angle coordinates and angle perturbations into perturbed color coordinates.** Cabral et al. discloses in col. 6, lines 62-67.

With respect to dependent claim 11, A. . . **the perturbation source is an algorithm for associating perturbations with polygon locations . . .** Cabral et al. discloses in col. 3, lines 15-19.

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As per independent claim 12, . . . **a machine readable medium . . . the method comprising: generating color values for a sample of normal vector orientations . . .**; Cabral et al. discloses in col. 3, lines 13-15. **estimating one or more angle coordinates for the pixel;** Cabral et al. discloses in col. 6, lines 36-40. **perturbing the one or more angle coordinates to provide modified angle coordinates;** Cabral et al. discloses in col. 6, lines 40-43. **and retrieving a color value for the pixel according to the perturbed angle variables.** Cabral et al. discloses in col. 6, lines 43-46.

However, it is noted that Cabral et al. fails to disclose said color value for each pixel. Cabral et al. discloses in col. 6, lines 66-67 and col. 7, lines 1-3 that said models and equivalents are used to describe factors for determining a surface color. 3D Studio MAX discloses on pp. 2-29, UV coordinates which display the range of UV mapping coordinates as a color gradient. It would have been obvious to one of ordinary skill in the art at the time of the invention that said UV map implement said bump mapping and that said colors are generated from said table or color channels disclosed on pp. 2-16 3D Studio MAX palette for special effects during rendering and compositing as disclosed in 3D Studio MAX ON pp. 2-29.

With respect to dependent claim 13, . . . **generating angle perturbation for the pixel; and combining the angle perturbations with the angle coordinates to form modified angle coordinates.** Cabral et al. discloses in col. 6, lines 47-52.

With respect to dependent claim 14, . . . **interpolating angle coordinates for the pixel from angle coordinates for the polygon vertices; and converting the interpolated angle coordinates to scaled angle coordinates.** Cabral et al. discloses in col. 6, lines 52-59.

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As per independent claim 15, it is rejected based upon similar rational as above independent claim 7.

With respect to dependent claims 16 and 17 respectively, see above rejection for dependent claims 9 and 10.

As per independent claim 18, **a system comprising: a graphics pipeline; and a memory . . . comprising: generating color values for a sample of vector orientations . . . estimating first and second angle coordinates . . . ; and retrieving a color value for the pixel . . .** Cabral discloses in figure 2B. However, it is noted that Cabral discloses said memory for vector operations. It would have been obvious to one of ordinary skill in the art at the time of the invention that if said vector discloses in Cabral included two vectors having the same orientation the angle coordinate could easily be computed and used to implement color values. Furthermore, Cabral discloses implementing bump mapping for different types of shading, which would constitute variations of a color based upon the illumination.

With respect to dependent claim 19, **. . . each color value is associated with first and second angle coordinates . . .** Cabral discloses in col. 4, lines 10-17.

With respect to dependent claim 20, **. . . graphics pipeline includes texture mapping hardware and the color values are accessed using the texture mapping hardware.** Cabral discloses in col. 3, lines 24-35.



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*Response to Amendment*

8. Applicant's arguments filed 11/13/2000 have been fully considered but they are not persuasive. Applicant argues that Cabral fails to disclose angle coordinates for a pixel in a polygon. It would have been obvious that if said vector discloses in Cabral included two vectors having the same orientation the angle coordinate could easily be computed and used to implement color values. Furthermore, Cabral discloses implementing bump mapping for different types of shading, which would constitute variations of a color based upon the illumination.

9. Any response to this action should be mailed to:

**Box AF**

Commissioner of Patents and Trademarks

Washington, D.C. 20231

or faxed to:

(703)-308-9051 (**formal** communications intended for entry),

Or:

(703)-305-9724 (**informal** communications labeled **PROPOSED** or **DRAFT**).

Hand-delivered responses should be brought to:

Sixth Floor Receptionist, Crystal Park II, 2121 Crystal Drive, Arlington, VA.

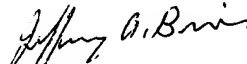
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Any inquiry concerning this communication or earlier communications from the examiner should be directed to Motilewa Good-Johnson, whose telephone number is (703)-305-3939 and can normally be reached Monday - Friday from 7:30 AM to 4 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Mike Razavi, can be reached at (703)-305-4713.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the group receptionist whose telephone number is (703)-305-4700.

**Motilewa Good-Johnson**  
**Patent Examiner**  
**Art Unit 2672**

  
**JEFFERY BRIER**  
**PRIMARY EXAMINER**